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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/091,193	03/05/2002	H. H. Chen	YOR91999-0420-US2

CONFIRMATION NO. 6354

FORMALITIES LETTER



OC000000007915258

Anne Vachon Dougherty
3173 Cedar Road
Yorktown Heights, NY 10598

Date Mailed: 04/19/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - more than one figure is present and each figure is not labeled "Fig." with a consecutive Arabic numeral (1, 2, etc.) or an Arabic numeral and capital letter in the English alphabet (A, B, etc.)(see 37 CFR 1.84(u)(1));

*A copy of this notice **MUST** be returned with the reply.*

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of : June 10, 2002
H.H. Chen, et al : Group Art No.: 2811
Serial No. 10/091,193 : Examiner: O. Nadav
Filed: March 5, 2002 : for IBM Corporation
Anne Vachon Dougherty
Title: SEMICONDUCTOR HIGH 3173 Cedar Road
DIELECTRIC CONSTANT Yorktown Heights, NY 10598
DECOUPLING CAPACITOR
STRUCTURES AND PROCESS
FOR FABRICATION

COPY OF PAPERS
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DEPOSIT AUTHORIZATION

Authorization is hereby given to charge the following fees to
Deposit Account No. 50-0510:

Fee for filing Missing Parts of Application (37 CFR 1.16(e))
in the amount of \$130.00.

A duplicate copy of this authorization is enclosed.

Anne Vachon Dougherty
Name of authorized party

Anne Vachon Dougherty
Signature of authorized party

213 19 MP \$

DIPE JC106
JUN 17 2002
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that the attached correspondence comprising:

Notice to File Missing Parts of Application

Fee-Deposit Authorization

Declaration

Substitute Drawings

Acknowledgment Postcard

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner of Patents
Washington, DC 20231

on June 10, 2002.

Anne Vachon Dougherty

Anne Vachon Dougherty
Signature of person mailing

YOR919990420-US2